

Log-Concavity in Lie Type A

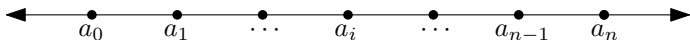
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UIUC Symposium on (-1) Convexity in Algebraic Combinatorics

Based on joint works with June Huh, Apoorva Khare,
Jacob Matherne, and Karola Mészáros.

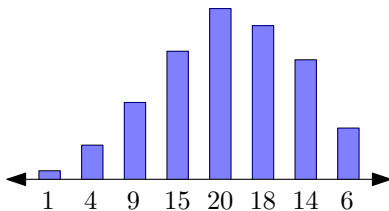
Unimodal Sequences

$\mathbf{a} = (a_0, a_1, \dots, a_n) \in \mathbb{Z}_{\geq 0}^{n+1}$ a combinatorial sequence

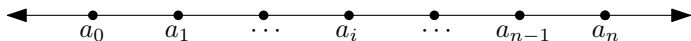


Unimodality:

$$\underbrace{a_0 \leq a_1 \leq \dots \leq a_j}_{\text{increase}} \geq \underbrace{a_{j+1} \geq \dots \geq a_n}_{\text{decrease}} \quad \text{for some } j$$



Generalizations



- Log-Concavity:

$$a_i^2 \geq a_{i+1}a_{i-1} \text{ for } 1 \leq i \leq n-1$$

- Ultra Log-Concavity:

$$\frac{a_0}{\binom{n}{0}}, \frac{a_1}{\binom{n}{1}}, \dots, \frac{a_n}{\binom{n}{n}} \text{ is log-concave}$$

$$\text{i.e. } a_i^2 \geq \left(1 + \frac{1}{i}\right) \left(1 + \frac{1}{n-i}\right) a_{i+1}a_{i-1}$$

Exercise

For positive sequences:

$$\text{Ultra Log-Concave} \implies \text{Log-Concave} \implies \text{Unimodal}$$

Some Famous Examples

- Binomial coefficients

$$\binom{n}{0}, \binom{n}{1}, \dots, \binom{n}{n}$$

are ultra log-concave

- (Butler 1990) q -binomial coefficients $\begin{bmatrix} n \\ 0 \end{bmatrix}_q, \begin{bmatrix} n \\ 1 \end{bmatrix}_q, \dots, \begin{bmatrix} n \\ n \end{bmatrix}_q$ are log-concave:

$$\begin{bmatrix} n \\ i \end{bmatrix}_q^2 - \begin{bmatrix} n \\ i-1 \end{bmatrix}_q \begin{bmatrix} n \\ i+1 \end{bmatrix}_q \in \mathbb{N}[q].$$

- Strong Mason Conjecture:

f_i = number of independent sets of size i in a given matroid

is ultra log-concave (proved by Brändén–Huh and Anari–Liu–Gharan–Vinzant)

Theorem (Newton 1707)

Suppose

$$f(x) := \sum_{j=0}^n a_j x^j \in \mathbb{R}[x]$$

is real-rooted. Then (a_0, a_1, \dots, a_n) is ultra log-concave.

Quick proof:

- By Rolle's theorem, $g(x) := \partial^{j-1} f(x)$ is real-rooted.
- So is $h(x) := x^{n-j-1} g(1/x)$.
- So is

$$\frac{2}{n!} \cdot \partial^{n-j-1} h(x) = \frac{a_{j-1}}{\binom{n}{j-1}} x^2 + 2 \frac{a_j}{\binom{n}{j}} x + \frac{a_{j+1}}{\binom{n}{j+1}}. \quad \square$$

Theorem (Classical)

If $f = a_0 + \cdots + a_m x^m$ and $g = b_0 + \cdots + b_n x^n$ have positive log-concave coefficients, then so does fg .

This is not true for unimodal sequences:

$$(1 + x + 2x^2)(1 + x + 3x^2) = 1 + 2x + 6x^2 + 5x^3 + 6x^4.$$

Describe some log-concavity properties of the maps

- Dimensions

$$\lambda \mapsto \dim V(\lambda)$$

- Characters

$$\lambda \mapsto s_\lambda$$

- Weight multiplicities

$$\alpha \mapsto K_{\lambda, \alpha}$$

- Tensor product multiplicities

$$(\lambda, \mu, \nu) \mapsto c_{\lambda, \mu}^\nu$$

- Verma modules

$$\mu \mapsto p(\lambda - \mu)$$

Why would multiplicities be log-concave ?

Andrei Okounkov

Abstract

It is a basic property of the entropy in statistical physics that is concave as a function of energy. The analog of this in representation theory would be the concavity of the logarithm of the multiplicity of an irreducible representation as a function of its highest weight. We discuss various situations where such concavity can be established or reasonably conjectured and consider some implications of this concavity. These are rather informal notes based on a number of talks I gave on the subject, in particular, at the 1997 International Press lectures at UC Irvine.

My favorite reason

Weight multiplicities are enumerated by integer points of polytopes

For P_1, \dots, P_r polytopes in \mathbb{R}^d and $x_1, \dots, x_r \geq 0$ the Minkowski sum

$$P = x_1 P_1 + \dots + x_r P_r$$

has (normalized) volume

$$\sum_{\substack{\alpha \in \mathbb{N}^r \\ |\alpha|=d}} V_{\alpha_1, \dots, \alpha_r} \frac{x_1^{\alpha_1}}{\alpha_1!} \dots \frac{x_r^{\alpha_r}}{\alpha_r!}.$$

Theorem (Alexandrov–Fenchel Inequalities)

The coefficients $V_{\alpha_1, \dots, \alpha_r}$ form log-concave sequences along root directions $\varepsilon_i - \varepsilon_j$.

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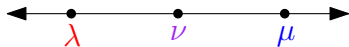
$$\mu \mapsto p(\lambda - \mu)$$

Dimensions of Irreducibles

Lemma (Okounkov 2003)

The function $\lambda \mapsto \dim V(\lambda)$ is log-concave on partitions:

$$(\dim V(\nu))^2 \geq \dim V(\lambda) \dim V(\mu)$$



By the Weyl dimension formula:

$$\dim V(\lambda) = s_{\lambda}(1, 1, \dots, 1) = \prod_{1 \leq i < j \leq n} \frac{\lambda_i - \lambda_j + j - i}{j - i}$$

Example:

$$\dim V(2, 1, 0) = 8 \quad \dim V(4, 2, 1) = 15 \quad \dim V(6, 3, 2) = 24$$

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Schur Polynomials and Tableaux

Definition

Given a partition $\lambda \in \mathbb{Z}_{\geq 0}^n$, the *Schur polynomial* s_λ is defined by

$$s_\lambda(x_1, \dots, x_n) = \text{char } V(\lambda) = \sum_{T \in \text{SSYT}(\lambda)} x_1^{(\#1\text{'s in } T)} \dots x_n^{(\#n\text{'s in } T)}$$

SSYT(2, 1, 0) :

1	1	1	2	1	3	1	1	1	2	1	3	2	2	2	3
2			2		2	3		3	3		3		3		3

$$s_{210} = \textcolor{red}{x}_1^2 \textcolor{blue}{x}_2 + x_1 x_2^2 + \textcolor{red}{x}_1 \textcolor{blue}{x}_2 \textcolor{green}{x}_3 + x_1^2 x_3 + \textcolor{red}{x}_1 \textcolor{blue}{x}_2 \textcolor{green}{x}_3 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2$$

Skew Schur polynomial: $s_{\lambda/\mu}$ for $\lambda \supseteq \mu$

Between Schur Polynomials

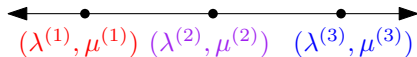
Theorem (Okounkov 1997)

For $i \in \{1, 2, 3\}$, suppose $\lambda^{(i)} \supseteq \mu^{(i)}$ are partitions with

$$(\lambda^{(2)}, \mu^{(2)}) = \frac{1}{2}(\lambda^{(1)}, \mu^{(1)}) + \frac{1}{2}(\lambda^{(3)}, \mu^{(3)}).$$

Then

$$s_{\lambda^{(2)}/\mu^{(2)}}^2 - s_{\lambda^{(1)}/\mu^{(1)}} s_{\lambda^{(3)}/\mu^{(3)}} \in \mathbb{Z}_{\geq 0}[x_1, x_2, \dots].$$



Moreover, he conjectured *Schur-positivity*.

Between Schur Polynomials

Theorem (Lam–Postnikov–Pylyavskyy 2005)

The following are all Schur-positive

- $(s_{\frac{\lambda+\nu}{2}/\frac{\mu+\rho}{2}})^2 - s_{\lambda/\mu} s_{\nu/\rho}$ (Okounkov)
- $s_{\text{sort}_1(\lambda,\mu)} s_{\text{sort}_2(\lambda,\mu)} - s_{\lambda} s_{\mu}$ (Fomin–Fulton–Li–Poon)
- $\prod_{i=1}^n s_{\lambda^{[i,n]}} - \prod_{i=1}^m s_{\lambda^{[i,m]}}$ (Lascoux–Leclerc–Thibon)
- $s_{(\lambda/\mu) \vee (\nu/\rho)} s_{(\lambda/\mu) \wedge (\nu/\rho)} - s_{\lambda/\mu} s_{\nu/\rho}$ (Lam–Pylyavskyy)

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$$\mu \mapsto p(\lambda - \mu)$$

Kostka Numbers

The *Kostka numbers* are the weight multiplicities

$$K_{\lambda\alpha} = \dim V(\lambda)_{\alpha}$$

$$= \text{coefficient of } x^{\alpha} \text{ in } s_{\lambda}$$

$$= \# \text{ of SSYT with shape } \lambda \text{ and weight } \alpha$$

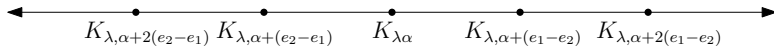
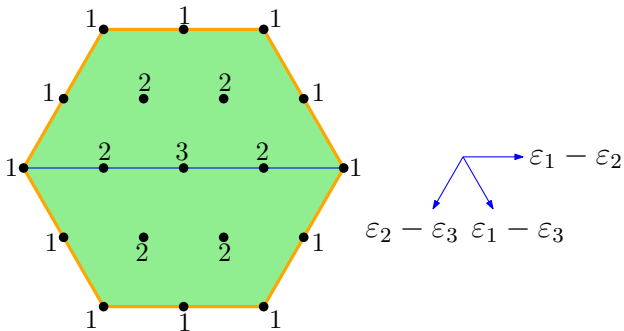
1	1	1	1	1	1	2	2
2		2		2	3	3	3

$$K_{(2,1,0),(1,1,1)} = 2$$

$$s_{210}(x_1, x_2, x_3) = x_1^2 x_2 + x_1 x_2^2 + 2x_1 x_2 x_3 + x_1^2 x_3 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2$$

Weight Multiplicity Strings

Weight diagram of $V(4, 2, 0)$

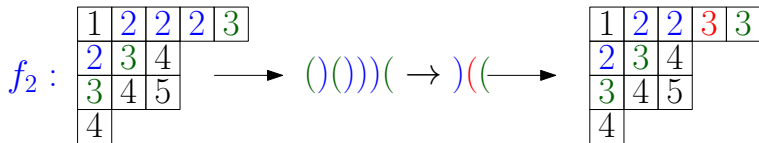


The SSYT Crystal

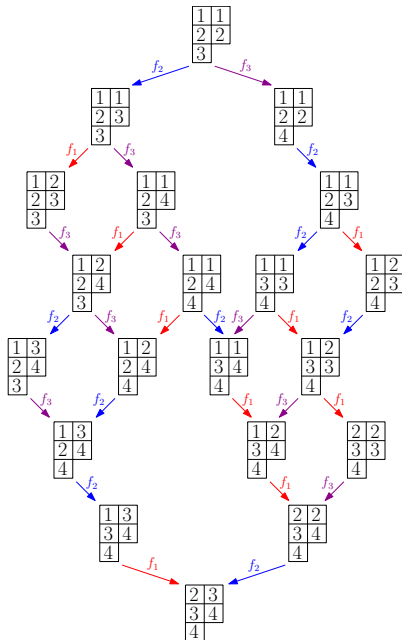
Crystal operators on SSYT provides some insight into unimodality of Kostka numbers.

The operator f_i on $\text{SSYT}(\lambda)$ changes an i to an $i + 1$ in a tableau T by the recipe:

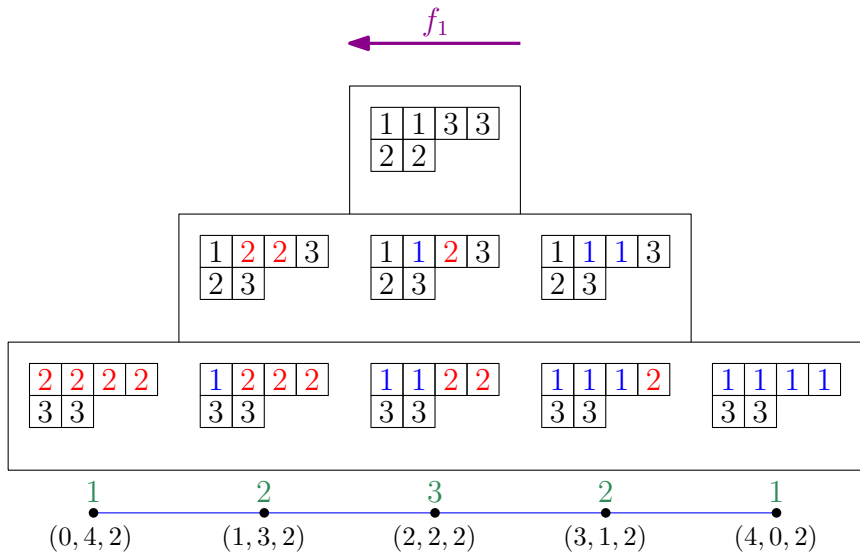
- map $i \mapsto)$ and $i + 1 \mapsto ($
- read parentheses up columns
- iteratively remove matched pairs $()$
- change the rightmost $)$ to a $($



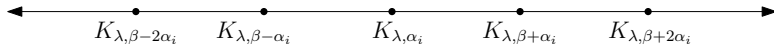
Crystal Operators



Unimodality via Crystals



Log-Concavity of Kostka Numbers?



Proposition

For any partition λ , weight β , and simple root α_i , the sequence $(K_{\lambda, \beta + p\alpha_i})_{p \in \mathbb{Z}}$ is unimodal.

Question

At least partially unimodal, so maybe also log-concave?

$$K_{\lambda\alpha}^2 \geq K_{\lambda, \alpha + \varepsilon_i - \varepsilon_j} K_{\lambda, \alpha - \varepsilon_i + \varepsilon_j}$$

Theorem (Huh–Matherne–Mészáros–S. 2019)

Yes! (with Lorentzian polynomials)

Definition (Brändén–Huh 2019)

A homogeneous polynomial f of degree d with nonnegative coefficients is *Lorentzian* if

- $\text{supp}(f)$ equals the set of integer points in a *generalized permutahedron* (M-convexity)
- $\frac{\partial}{\partial x_{i_1}} \cdots \frac{\partial}{\partial x_{i_{d-2}}} f$ has at most one positive eigenvalue

What is a polynomial?

Continuous Answer:

A function $f : \mathbb{R}^n \rightarrow \mathbb{R}$ of the form

$$\sum_{\alpha \in \mathbb{N}^n} c_{\alpha} x^{\alpha}$$

Continuous log-concavity: $\log(f)$ is a concave function on the domain $\mathbb{R}_{>0}^n$.

If $f(x_1, \dots, x_n)$ and $g(x_1, \dots, x_n)$ are log-concave, then so is the product $f(x_1, \dots, x_n)g(x_1, \dots, x_n)$

Discrete Answer:

A finite subset S of \mathbb{N}^n together with labels $\alpha \mapsto c_{\alpha}$

Discrete log-concavity:

$$c_{\alpha}^2 \geq c_{\alpha+\varepsilon_i-\varepsilon_j} c_{\alpha-\varepsilon_i+\varepsilon_j}$$

If a_0, a_1, \dots, a_n and b_0, b_1, \dots, b_m are positive and log-concave, then so is the convolution $(a_i)_i \otimes (b_i)_i$.

Lorentzian Polynomials

Theorem (Bränden–Huh 2019)

Suppose $f(x) = \sum_{\alpha \in \mathbb{N}^m} c_{\alpha} x^{\alpha}$ is nonzero and $N(f)$ is Lorentzian.

Then:

- $N(f)$ is log-concave on the positive orthant.
- $c_{\alpha}^2 \geq c_{\alpha+\varepsilon_i-\varepsilon_j} c_{\alpha-\varepsilon_i+\varepsilon_j}$ for every $\alpha \in \mathbb{N}^m$ and $i, j \in [m]$.
- If $N(g)$ is also Lorentzian, then so are both $N(f)N(g)$ and $N(fg)$.

More generally: For homogeneous polynomials, Lorentzian is equivalent to being either of

- *strongly log-concave* – f and all derivatives are log-concave
- *completely log-concave* – f and all positive combinations of derivatives are log-concave

Why the quadratic derivatives?

Theorem (Anari–Liu–Oveis–Gharan–Vinzant 2018)

If $f \in \mathbb{R}[x_1, \dots, x_n]$ is homogeneous of degree d with nonnegative coefficients and

- for any $\alpha \in \mathbb{Z}_{\geq 0}^n$ with $|\alpha| \leq d - 2$, $\partial^\alpha f$ is indecomposable;*
- for any $\alpha \in \mathbb{Z}_{\geq 0}^n$ with $|\alpha| = d - 2$, the quadratic $\partial^\alpha f$ is log-concave;*

then f is completely log-concave.

Why the quadratic derivatives?

$$c_{\alpha}^2 \geq c_{\alpha+e_i-e_j} c_{\alpha-e_i+e_j}$$

Proof idea:

$$\left. \frac{\partial^{\alpha-e_1-e_2}}{\partial x^{\alpha-e_1-e_2}} N(f) \right|_{x_3=\dots=x_n=0} = \frac{1}{2} c_{\alpha+e_1-e_2} x_1^2 + c_{\alpha} x_1 x_2 + \frac{1}{2} c_{\alpha-e_1+e_2} x_2^2$$

With at most one positive eigenvalue

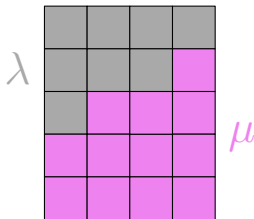
$$\det \begin{pmatrix} c_{\alpha+e_1-e_2} & c_{\alpha} \\ c_{\alpha} & c_{\alpha-e_1+e_2} \end{pmatrix} \leq 0$$

Theorem (Huh–Matherne–Mészáros–S. 2019)

$N((x_1 \cdots x_n)^{n-1} s_\lambda(x_1^{-1}, \dots, x_n^{-1}))$ is Lorentzian

But thanks to symmetry:

$$(x_1 \cdots x_n)^{n-1} s_\lambda(x_1^{-1}, \dots, x_n^{-1}) \approx s_\mu$$



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Littlewood–Richardson Numbers

The *Littlewood–Richardson numbers* are $c_{\lambda\mu}^\nu \in \mathbb{Z}_{\geq 0}$ defined by

$$s_\lambda s_\mu = \sum_{\nu} c_{\lambda\mu}^\nu s_\nu.$$

Classically nonnegative and count various combinatorial objects.

Representation Theory:

$c_{\lambda\mu}^\nu$ are the tensor product multiplicities:

$$V(\lambda) \otimes V(\mu) \cong \bigoplus_{\ell(\nu) \leq n} (V(\nu))^{c_{\lambda\mu}^\nu}$$

Geometry:

In the cohomology of the Grassmannian $\mathrm{Gr}_{k,n}(\mathbb{C})$:

$$\sigma_\lambda \smile \sigma_\mu = \sum_{\nu \subseteq k \times (n-k)} c_{\lambda\mu}^\nu \sigma_\nu$$

Okounkov's Conjecture

Conjecture (Okounkov 2003)

The discrete function

$$(\lambda, \mu, \nu) \mapsto c_{\lambda\mu}^{\nu}$$

is a log-concave function of λ, μ, ν .

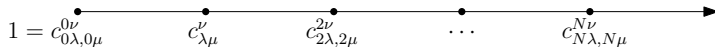
True asymptotically!

(Proved by Okounkov and again by Chindris–Derksen–Weyman)

Implies other true results like...

Saturation

When is $c_{\lambda\mu}^\nu > 0$?



If $\log(c_{\lambda\mu}^\nu)$ is concave, then

$\{(\lambda, \mu, \nu) \mid c_{\lambda\mu}^\nu > 0\}$ is convex.

Saturation Conjecture

Theorem (Knutson–Tao 1998)

For any partitions λ, μ, ν ,

$$c_{\lambda\mu}^{\nu} > 0 \text{ if and only if } c_{N\lambda, N\mu}^{N\nu} > 0$$

for any $N \geq 1$.

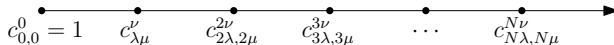
The *Horn inequalities* state that $c_{\lambda\mu}^{\nu} > 0$ exactly when (λ, μ, ν) satisfies recursive inequalities of the form

$$\sum_{i \in I} \lambda_i + \sum_{j \in J} \mu_j \geq \sum_{k \in K} \nu_k.$$

Okounkov's Conjecture

Theorem (Chindris–Derksen–Weyman 2007)

Okounkov's conjecture is false.



Log-concavity would imply

$$(c_{N\lambda,N\mu}^{N\nu})^2 \geq c_{(N+1)\lambda,(N+1)\mu}^{(N+1)\nu} c_{(N-1)\lambda,(N-1)\mu}^{(N-1)\nu}.$$

Counterexample:

$$\lambda = \mu = 3^{21}2^{21}1^{21}, \quad \nu = 4^{21}3^{42}2^{21}, \quad N = 1$$

The log-concavity inequalities

$$K_{\lambda\alpha}^2 \geq K_{\lambda,\alpha+\varepsilon_i-\varepsilon_j} K_{\lambda,\alpha-\varepsilon_i+\varepsilon_j}$$

are a special case of Okounkov's conjecture!

$$K_{\lambda, \begin{array}{|c|c|c|} \hline \text{red} & \text{red} & \text{red} \\ \hline \text{purple} & & \\ \hline \text{green} & & \\ \hline \text{blue} & & \\ \hline \end{array}} = c_{\lambda, \begin{array}{|c|c|c|c|c|c|} \hline \text{red} & \text{red} & \text{purple} & \text{green} & \text{blue} & \text{blue} \\ \hline \text{purple} & \text{green} & \text{blue} & \text{blue} & & \\ \hline \text{green} & \text{blue} & \text{blue} & & & \\ \hline \text{blue} & & & & & \\ \hline \end{array}} c_{\lambda, \begin{array}{|c|c|c|c|c|} \hline \text{purple} & \text{green} & \text{blue} & \text{blue} & \text{blue} \\ \hline \text{green} & \text{blue} & \text{blue} & & \\ \hline \text{blue} & & & & \\ \hline \end{array}}$$

Theorem (Huh–Matherne–Mészáros–S. 2019)

For partition tuples in the image of the map from Kostka numbers:

$$(c_{\lambda,\mu}^\nu)^2 \geq c_{\lambda,\mu+\varpi_{i-1}-\varpi_{j-1}}^{\nu+\varpi_i-\varpi_j} c_{\lambda,\mu-\varpi_{i-1}+\varpi_{j-1}}^{\nu-\varpi_i+\varpi_j}.$$

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Verma Modules

The *Verma module* $M(\lambda)$ is the universal highest weight module with highest weight λ :

$$M(\lambda) := \frac{U\mathfrak{g}}{U\mathfrak{g} \cdot \mathfrak{n}^+ + \sum_{i \in I} (U\mathfrak{g} \cdot (h_i - \lambda(h_i)))}.$$

By the Poincaré–Birkhoff–Witt theorem:

$$\dim M(\lambda)_\mu = p(\lambda - \mu), \text{ where}$$

$p(\nu)$ is the *Kostant partition function* – the number of ways to write ν as a sum of positive roots.

Example:

$$\begin{aligned} p(2, 1, -3) &= |\{2\alpha_1 + 3\alpha_2, 2(\alpha_1 + \alpha_2) + \alpha_2, (\alpha_1 + \alpha_2) + \alpha_1 + 2\alpha_2\}| \\ &= 3. \end{aligned}$$

Question

Is $\nu \rightarrow p(\nu)$ log-concave?

Theorem (Huh–Matherne–Mészáros–S. 2019)

For every $p \in \mathbb{Z}^n$ and $i, j \in [n]$,

$$p(v)^2 \geq p(v + \varepsilon_i - \varepsilon_j)p(v - \varepsilon_i + \varepsilon_j).$$

Idea:

- $p(v)$ are the mixed volumes of *flow polytopes*.
- Mixed volumes are log-concave in root directions by the Alexandroff–Fenchel inequalities.

Question

Does this log-concavity hold for a more general family of universal modules over $\mathfrak{sl}_{n+1}(\mathbb{C})$?

Parabolic Verma Modules

For a subset $J \subseteq [n]$, the *parabolic Verma module* is

$$M(\lambda, J) := \frac{M(\lambda)}{\sum_{j \in J} U_{\mathfrak{g}} \cdot f_j^{\lambda(h_j)+1} M(\lambda)_{\lambda}}.$$

Extremal cases:

- $J = \emptyset$: $M(\lambda, \emptyset) = M(\lambda)$ (the Verma module)
- $J = I$: $M(\lambda, I) = V(\lambda)$ (the finite-dimensional irrep)

Theorem (Khare–Matherne–S. 2025)

The weight multiplicities of any $\mathfrak{sl}_{n+1}(\mathbb{C})$ parabolic Verma module are log-concave along root directions.

Thanks for listening!

