

### 0.0.1 Dirichlet L-functions

- Dirichlet (1837) proved there are infinite number of primes in an arithmetic sequence  $b, b + m, b + 2m, \dots$  by using Dirichlet L-series  $\sum_{n>0} \frac{\chi(n)}{n^s}$ , where

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

- **Definition** *Dirichlet character mod  $m$*   $\chi : \mathbb{Z} \rightarrow \mathbb{C}$  has conditions:

1.  $\chi(n + m) = \chi(n) \quad \forall n \in \mathbb{Z}$
2.  $\chi(km) = \chi(k)\chi(m) \quad \forall k, m \in \mathbb{Z}$
3.  $\chi(n) \neq 0 \Leftrightarrow \gcd(n, m) = 1$
4. *principal*:  $\chi_0(n) = 1 \Leftrightarrow \gcd(n, m) = 1$
5. *trivial, ie mod 1*  $\chi(n) = 1 \quad \forall n \in \mathbb{Z}$

also  $\chi : (\mathbb{Z}/m\mathbb{Z})^* \rightarrow \mathbb{C}^*$  extended to  $\mathbb{Z}/m\mathbb{Z}$  by  $\chi(n) = 0$  for  $\gcd(m, n) > 1$

- Has an Euler product

$$\sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \prod_p (1 - \chi(p)p^{-s})^{-1}$$

- Tried to follow Legendre, but failed until he started using analytic techniques:

- Dirichlet made use of

$$\Gamma(z) = \int_0^{\infty} x^{z-1} e^{-x} dx$$

and  $s \rightarrow 1^+$  in form of a well known identity

$$\int_0^1 x^{k-1} \log^{\rho} \left( \frac{1}{x} \right) dx = \frac{\Gamma(1 + \rho)}{k^{1+\rho}}$$

where  $k > 0$  is constant,  $\rho > 0$  has  $\rho \rightarrow 0$ .

- Used complex analysis and the Euler product
- but did not need analytic continuation.
- Seems to use  $\chi \rightarrow$  roots of unity but also needs  $\chi(n) = 0$  when  $p \mid n$  to eliminate a lot of terms of  $\sum \chi(n)/n^s$  to show that

$$\sum \frac{1}{q^{1+\rho}} \rightarrow \infty \text{ as } \rho \rightarrow 0$$

where  $q = np + m$

- Eisenstein proved analytic continuation and functional equation for a Dirichlet series related to  $\zeta$ .

- Ernst Kummer (1839,40) introduced  $\zeta$  of a cyclotomic field to investigate class number of these fields following Dirichlet
- Riemann (1859) used Poisson summation

$$\sum_{n \in \mathbb{Z}} f(n) = \sum_{n \in \mathbb{Z}} \hat{f}(n)$$

$$\hat{f}(\xi) = \int_{\mathbb{R}} f(x) e^{-2\pi i x \xi} dx$$

to show analytic continuation and functional equation of  $\zeta$  which is the Dirichlet series with trivial character:

$$\xi(s) = \pi^{-s/2} \Gamma\left(\frac{s}{2}\right) \zeta(s) = \xi(1-s)$$

- – Dedekind (1893) extended  $\zeta$  to arbitrary number fields of an algebraic extension  $K/\mathbb{Q}$  using trivial  $\chi$ . Dedekind

$$\zeta_K(s) = \sum_{\mathfrak{a}} \frac{1}{N(\mathfrak{a})^s} = \prod_{\mathfrak{p}} (1 - N(\mathfrak{p}))^{-1}$$

$\mathfrak{a}$  non-zero ideal in ring of integers  $\mathcal{O}_K$  of  $K$  and  $\mathfrak{p}$  is prime ideal,  $N$  is index  $[\mathcal{O}_K : \mathfrak{a}] = |\mathcal{O}_K/\mathfrak{a}|$ .

- Proven by Hecke (1917) to have meromorphic continuation and functional equation.

- **Examples** -The twisted mean square and critical zeros of Dirichlet L-functions  
 -An explicit lower bound for special values of Dirichlet L-functions  
 -Several expressions of Dirichlet L-functions at Positive integers  
 -On asymptotic properties of the generalized Dirichlet L-functions  
 -Simultaneous nonvanishing of Dirichlet L-functions and twists of Hecke-Maass L-functions in the critical strip -Explicit bounds on exceptional zeroes of Dirichlet L-functions  
 -investigation of Dirichlet L-functions of Diophantine numbers?! (very irrational?!)

## 0.0.2 Hecke L-functions

- A generalization of the Dirichlet L-function and in particular a generalization of Dedekind  $\zeta$

$K$  number field,

$v$  non-archimedean place

$\mathcal{O}_K$  ring of integers of  $K$ ,

$\mathfrak{p} \subset \mathcal{O}_K$  prime ideal

$N\mathfrak{p}$  number of elements in finite field  $\mathcal{O}_K/\mathfrak{p}$

$|x|_v = |x|_{\mathfrak{p}} = (N\mathfrak{p})^{-ord_{\mathfrak{p}}(x)}$  for  $x \in K$

For real embedding  $\sigma : K \rightarrow \mathbb{R}$  for archimedean  $v$   $|x|_v = |\sigma(x)|$ .

- Leads to Hecke character (*Größencharacter*)  $\chi_v : K^* \rightarrow \mathbb{C}^*$ :

$$\chi(x) = \prod_v \chi_v(x)$$

with conditions:

1.  $x \in K \subset K_v^*$  implies

$$\chi(x) = 1 \quad \text{product formula}$$

2. all but finite number of  $\chi_v$  be *unramified*, ie, trivial on  $\{x \in K_v^* \mid |x|_v = 1\}$
3. For unramified place  $v$  corresponding to  $\mathfrak{p}$ ,  $\chi(\mathfrak{p}) = \chi_v(\varpi_v)$  for uniformizer  $\varpi \in K$
4. Ordinary ideal  $\mathfrak{a} \subset \mathcal{O}_K$  only included in  $\sum$  if product of unramified primes

- Hecke L-function (1916)

$$L_K(s, \chi) = \sum_{\mathfrak{a}} \frac{\chi(\mathfrak{a})}{(N\mathfrak{a})^s} = \prod_{\mathfrak{p}} (1 - \chi(\mathfrak{p})(N\mathfrak{p})^{-s})^{-s}$$

where  $\mathfrak{a}$ , ideals of  $\mathcal{O}_K$  are products of prime ideals corresponding to places where  $\chi_v$  is unramified.

- $\chi$  trivial, ie.,  $\chi_v = 1, \forall v$   $L(s, \chi)$  is *Dedekind*  $\zeta$  of  $K$ :  $\sum (N\mathfrak{a})^{-s}$ . Furthermore,  $K = \mathbb{Q}$  becomes Riemann  $\zeta$ .
- If  $\chi$  is finite order  $L_K(s, \chi)$  becomes Dirichlet L-function.
- Hecke: express L-function in terms of generalized  $\theta$ -function, which led to deriving analytic cont., functional equation, boundedness in vertical strips

## 0.1 Modular forms

- Hecke (1936) expanded L-functions into area of Modular forms: theta series:

$$\theta(\tau) = \frac{1}{2} \sum_{n \in \mathbb{Z}} e^{\pi i n^2 \tau}$$

holomorphic in  $\mathfrak{H}$ , has

$$\theta\left(\frac{-1}{\tau}\right) = C\left(\frac{\tau}{i}\right)^{1/2} \theta(\tau), \quad \theta(\tau + 2) = \theta(\tau)$$

Is a modular form of weight  $k = 1/2$  period  $\lambda = 2$ ,  $C$  condition for group generated by  $\tau \mapsto \tau + 2$  and  $\tau \mapsto -\frac{1}{\tau}$ , ie has Taylor expansion

$$f(\tau) = \sum_{n=0}^{\infty} a_n e^{\frac{2\pi i n \tau}{\lambda}}$$

which implies holomorphic at  $\infty$ . ( $a_0 = 0 \Rightarrow$  cuspform.)

- Hecke: sequence  $a_0, a_1, \dots \subset \mathbb{C}$   $a_n = O(n^d)$ , for some  $d > 0$ . Given  $\lambda > 0, k > 0, C = \pm 1$ , define:

$$\phi(s) = \sum_{n=1}^{\infty} \frac{a_n}{n^s}$$

$$\Phi(s) = \left(\frac{2\pi}{\lambda}\right)^{-s} \Gamma(s)\phi(s)$$

$$f(\tau) = \sum_{n=0}^{\infty} a_n e^{\frac{2\pi i n \tau}{\lambda}}$$

led to

- **Theorem** (Hecke's Converse Thm 1936) Following are equivalent:
  1.  $\Phi(s) + \frac{a_0}{s} + \frac{C a_0}{k-s}$  is an entire function bounded in vertical strips and satisfies functional equation  $\Phi(s) = C\Phi(k-s)$
  2.  $f$  is a weight  $k$  modular form  $Mfm(k, \lambda, C)$ , period  $\lambda$ , multiplier condition  $C$
- Connects modular forms and L-series/functions, (leads to Wiles discoveries including Fermat's thm)
- Maass forms (1949): non-holomorphic modular forms that are eigenfunctions of Laplacian.

## 0.2 Automorphic forms, Eisenstein Series

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$$E_s(z) = \sum_{\gamma \in (P\Gamma) \backslash \Gamma} \text{Im}(\gamma z)^s$$

$\text{SL}_2(\mathbb{Z}) \backslash \text{SL}_2(\mathbb{R}) / \text{SO}(2) = \Gamma \backslash \mathfrak{H}$ , P parabolic, eg., upper triangular. Continues  $\zeta$

$$\xi(s) = \pi^{-s/2} \Gamma\left(\frac{s}{2}\right) \zeta(s), \quad \xi(s) = \xi(1-s)$$

- Selberg (1962) mero ctn for  $E_s : s(1-s)\xi(2s) \cdot E_s$  has analytic ctn to entire fcn of  $s$ . Fcnl eqn:

$$\xi(2s)E_s = \xi(2-2s)E_{1-s}$$

Characteristics:

1. simple pole at  $s = 1$  with residue  $3/\pi$ .
  2.  $\text{in}0 < \text{Re}(s) < 1/2$  poles at  $\rho/2$  where  $\rho$  is non-trivial zero of  $\zeta(s)$ .
- Lots of ways to use Eisenstein series to generate integral representations of L-functions with Euler products, use analytic characteristics of Eisenstein series (analytic continuation, functional equation)

- Colin de Verdière (1982,3) Meromorphic continuation of Eisenstein involves distribution theory including Sobolev spaces, Friedrichs self-adjoint extension of a restriction of a symmetric unbounded operator, eg., the Laplacian

$$\Delta = y^2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right)$$

- cuspforms are smooth, rapid decay, Eisenstein series is smooth moderate growth.
  - Constant term of Eisenstein series

$$c_P E_s(z) = \int_0^1 E_s(z+t) dt$$

$$c_P E(x+iy) = y^s + \frac{\xi(2s-1)}{\xi(2s)} y^{1-s}$$

- Rankin-Selberg method  $f, g$  cuspforms w/ F-series

$$f(z) = \sum_{n>0} a_n e^{2\pi i n z}$$

then

$$\int_{P \backslash \mathfrak{H}} y^s f(z) \overline{g(z)} y^{2k} \frac{dx dy}{y^2} = (4\pi)^{-(s+2k-1)} \Gamma(s+2k-1) \sum_{n \geq 1} \frac{a_n \overline{b_n}}{n^{s+2k-1}}$$

- pullbacks of Eisenstein series, eg., Rankin triple product:

$$\mathrm{SL}_2 \times \mathrm{SL}_2 \times \mathrm{SL}_2 \hookrightarrow \mathrm{Sp}_{6 \times 6}$$

holomorphic cuspforms of weight  $2k$  for  $\mathrm{SL}_2(\mathbb{Z})$ :  $f, \varphi, \psi$

$$\begin{aligned} \int \int \int (E \cdot \iota)(z_1, z_2, z_3) \overline{f(z_1) \varphi(z_2) \psi(z_3)} (y_1 y_2 y_3)^{2k-2} dx_1 dy_1 dx_2 dy_2 dx_3 dy_3 \\ = \Gamma' s \times \zeta' s (\text{constant with } \pi) \times L_{f, \varphi, \psi}(s+4k-1) \end{aligned}$$

has Euler product

- Iwasawa-Tate wraps everything up in the adèle's. Garrett MFM notes looks at  $\zeta$ , Dirichlet L-function in terms of adèles/ideles, eg.,  $\chi$  is a characger on  $\mathbb{J}/k^\times$

### 0.3 Some informal references

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