

## Announcements

Midterm 2: Thurs. 3/21 7:00-8:30 pm, Loomis Lab. 144

See policy email (reference sheet allowed)

Topics: Everything through D&F §14.1

but focus is on post-Midterm 1 material (§13.2-onwards)

Practice problems: see email

Tues., Wed.: Review

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Recall:  $K/F$ : field ext'n

$\text{Aut}(K/F) = \{\text{autom. of } K \text{ fixing } F\}$

- $\sigma \in \text{Aut}(K/F)$  is det'd by its action on the set of generators of  $K/F$   
(i.e. if  $K = F(\alpha_1, \dots, \alpha_n)$  these are  $\alpha_1, \dots, \alpha_n$ )
- If  $\alpha \in K$  is a root of  $f(x) \in F[x]$ , then  $\sigma(\alpha)$  is also a root of  $f$ .
- If  $K = \text{Sp}_F F$ ,  $\alpha_1, \dots, \alpha_n$ : roots of  $f$  in  $K$  then  $\sigma$  is det'd by the permutation  $\bar{\sigma} = \sigma|_{\alpha_1, \dots, \alpha_n}$   
i.e.  $\text{Aut}(K/F) \subseteq S_n$

- If  $K = \bigcup_{\sigma \in F} F$ ,  $F$  sep., then  $\text{Gal}(K/F) := \text{Aut}(K/F)$  and  $K/F$  is Galois
- If  $K = \bigcup_{\sigma \in F} F$ ,  $|\text{Aut}(K/F)| \leq [K:F]$ , w/ equality iff  $K/F$  is Galois
- If  $H \leq \text{Aut}(K/F)$ ,  $\text{Fix } H = \{k \in K \mid \sigma(k) = k \ \forall \sigma \in H\}$   
 is a subfield of  $K$ , and if  $H \leq H' \leq \text{Aut}(K)$   
 $F \subseteq L \subseteq K$   
 $F \subseteq \text{Fix } H' \subseteq \text{Fix } H \subseteq K$   
 $I = \text{Aut}(K/K) \leq \text{Aut}(K/L) \leq \text{Aut}(K/F) \leq \text{Aut}(K)$

For the next couple of weeks, we'll focus our proofs on char 0 and/or finite fields

Def:  $K/F$  is separable if  $K/F$  is alg. and  $m_{\alpha, F}(x)$  is sep.  $\forall \alpha \in K$ .

(If  $\text{char } F = 0$  or  $F$ : finite,  $K/F$  finite  $\Rightarrow K/F$  sep.)

Primitive Elt. Thm. (§13.4): Every finite, separable ext'n is simple.

$$\text{E.g.: } \mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2} + \sqrt{3})$$

Pf in char 0: Since  $K/F$  is finite,  $K = F(\alpha_1, \dots, \alpha_n)$  for some  $\alpha_1, \dots, \alpha_n$ . Inducting on  $n$ , suffices to consider  $K = F(\gamma, \beta)$ .

Let  $f = m_{\alpha, F}(x)$ ,  $g = m_{\beta, F}(x)$ . Let  $E$  be a splitting field over  $K$  for  $fg$ , containing roots

$\alpha_1, \dots, \alpha_m$  of  $f$  and  $\beta_1, \dots, \beta_n$  of  $g$ .

Choose  $c \in F \setminus \{0\}$ , and set  $\gamma = \alpha + c\beta$ ,  $L = F(\gamma)$ .

$L \subseteq K$ ; if  $K \neq L$ , then  $\alpha \notin L$ , so  $m_{\alpha, L}(x)$  has

another root  $\delta \neq \alpha$ . Now,  $m_{\alpha, L} | f = m_{\alpha, F}$

and also  $m_{\alpha, L} | g(\gamma - cx) =: h(x)$  since

$g = m_{\beta, L}$  and  $\gamma - c\alpha = \beta$ , so  $f(\delta) = h(\delta) = 0$ .

The roots of  $h$  in  $E$  are

$$\delta_i = \frac{\gamma - \beta_i}{c} = \frac{c\alpha + \beta - \beta_i}{c} = \alpha + \frac{\beta - \beta_i}{c} \quad 1 \leq i \leq n$$

and we must have  $\delta = \alpha_i = \delta_j$  for some  $i, j$ .

Since  $\delta \neq \alpha$ ,  $c = \frac{\beta - \beta_j}{\alpha_i - \alpha}$ . There are only finitely

many such choices for  $c$ , and  $F$  is infinite, so

$K/F$  is simple. □

Cor: If  $K/F$ : finite, then  $|\text{Aut}(K/F)| \leq [K:F]$ .

Pf in char 0: Let  $K = F(\gamma)$ ,  $f = m_{\gamma, F}(x)$ .

Then  $f$  has  $n := \deg f = [K:F]$  roots  $\gamma = \gamma_1, \dots, \gamma_n$ ,  
and  $\sigma \in \text{Aut}(K/F)$  is det'd by the image  $\sigma(\gamma) = \gamma_i$ . □

Thm: Let  $H \subseteq \text{Aut}(K)$ ,  $F = \text{Fix } H$   
 $\uparrow$   $\uparrow$   
finite any  
gp. field

Then  $K/F$  is Galois!

More precisely,

$$[K : \text{Fix } H] = |H| \text{ and } \text{Aut}(K / \text{Fix } H) = H$$

First, given  $\alpha \in K$ , let's construct  $m_{\alpha, F} \in F[x]$ .

Let

$$H\alpha := \{\sigma(\alpha) \mid \sigma \in H\} =: \{ \alpha = \alpha_1, \dots, \alpha_n \}$$

$\swarrow \searrow$   
distinct

We know that  $\alpha_1, \dots, \alpha_n$  are roots of  $m_{\alpha, F}$ ,  
so set

$$f(x) = \prod_{1 \leq i \leq n} (x - \alpha_i) \in K[x]$$

not nec.  $F[x]$

If  $f(x) \in F[x]$ , then  $f = m_{\alpha, F}$ .

**Claim:** This is indeed the case.

**Pf:** Let  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$ .

If  $\tau \in H$ , then  $\tau(\alpha_i) = \tau(\sigma(\alpha)) = (\tau\sigma)(\alpha) = \alpha_j$ ,  
so  $\tau$  permutes the  $\alpha_i$ .

Then,

$$\tau(a_n)x^n + \dots + \tau(a_1)x + \tau(a_0)$$

$$= \tau(f(x)) = \tau(\prod(x - \alpha_i)) = \prod(x - \tau(\alpha_i))$$

$$= \prod(x - \alpha_i) = f(x) = a_n x^n + \dots + a_0,$$

so  $\alpha_i \in \text{Fix } H = F$ , so  $f = m_{\alpha, F}$ .  $\square$

Ex:  $K = \mathbb{Q}(\sqrt{2}, i)$ ,  $\text{Aut}(K/\mathbb{Q})$

$$G := \text{Gal}(K/\mathbb{Q}) = \{1, \sigma, \tau, \sigma\tau\}$$

$\sigma: \sqrt{2} \mapsto -\sqrt{2}$   
 $\tau: i \mapsto -i$

Let  $\alpha = i + \sqrt{2}$

$$G\alpha = \{\sqrt{2} + i, -\sqrt{2} + i, \sqrt{2} - i, -\sqrt{2} - i\}$$

$\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4$

and

$$m_{\alpha, \mathbb{Q}}(x) = \prod(x - \alpha_i) = x^4 - 2x^2 + 9$$