

## Announcements

Monday's lecture will be posted as a recording  
(no in-person class Monday)

Office hour moved to Wednesday

Midterm 2: Friday, 10/25 in class

## §8.1: Recurrence Relations:

Def: A sequence is an infinite list of numbers

$a_1, a_2, a_3, \dots$   
→  
doesn't need to start w/  $a_1$

A recurrence relation is a formula for  $a_n$  in terms  
of (some of)  $a_1, a_2, \dots, a_{n-1}$ .

Given a recurrence rel'n and some initial condition(s) (value of at least  $a_1$ )  
we try to solve the recurrence rel'n by giving an explicit  
formula (not a recurrence rel'n) for  $a_n$ .

Ex 1: Fibonacci sequence:  $\{f_n\}$

1, 1, 2, 3, 5, 8, 13, 21, ...

Recurrence rel'n:  $f_n = f_{n-1} + f_{n-2}$

Initial conds.:  $f_1 = 1, f_2 = 1$

} hard to  
solve

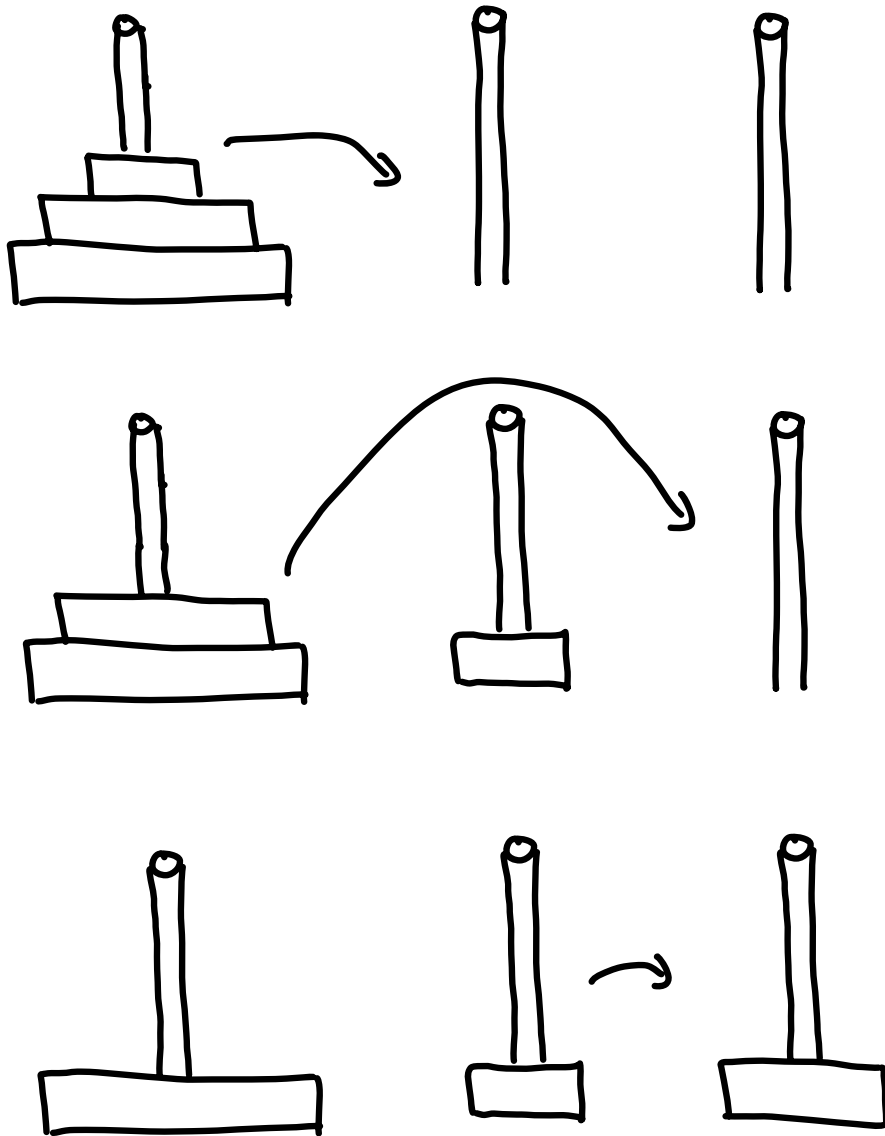
## Ex 2: Towers of Hanoi:

3 pegs

$n$  discs of different sizes on Post 1

Want to move them all to Post 2

Can only stack smaller on larger



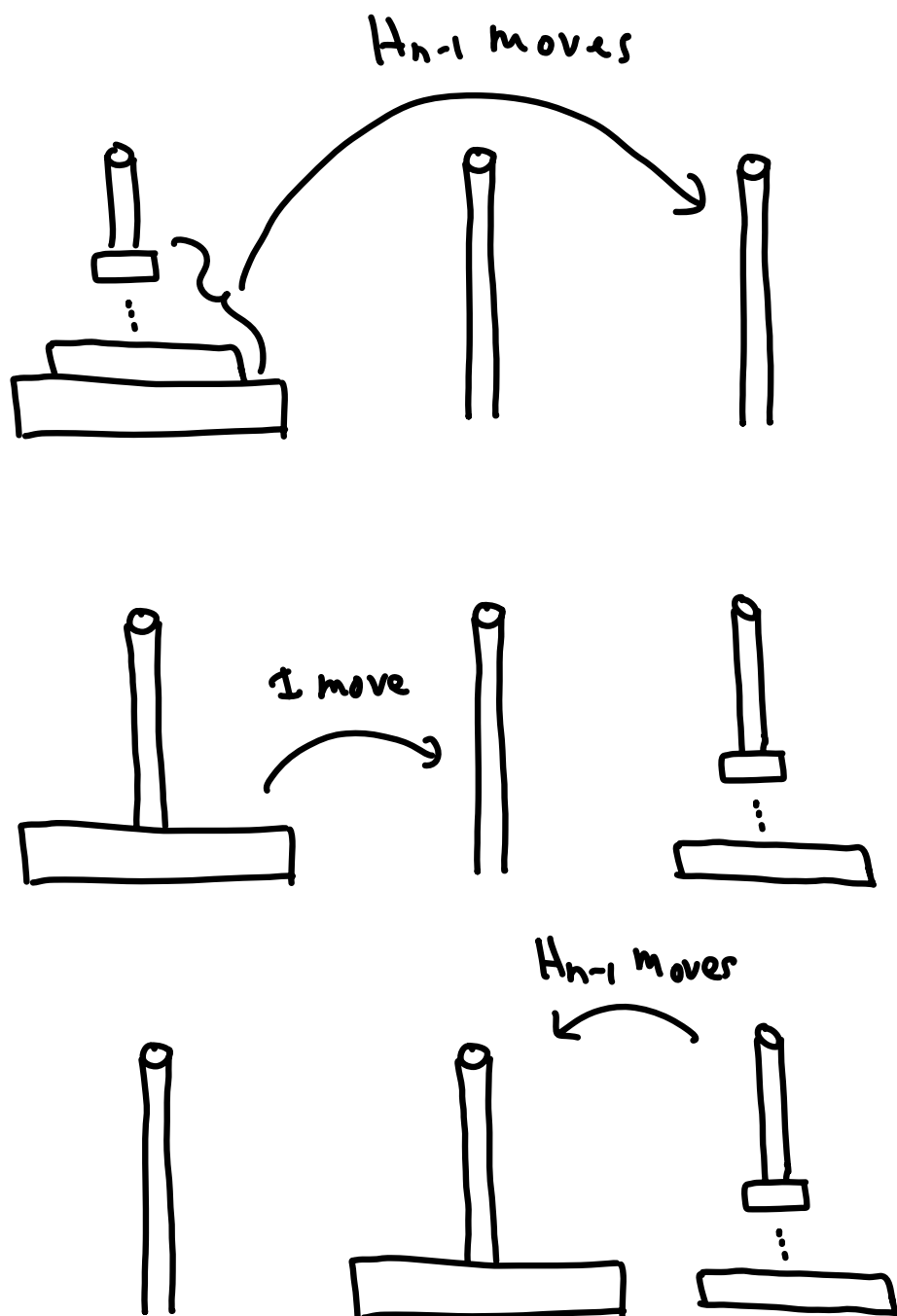
Class activity:

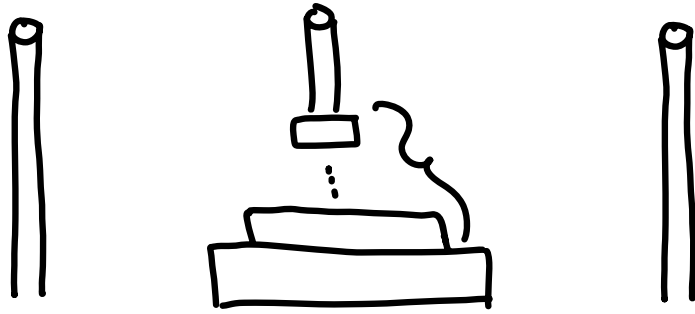
Find the minimum number of moves

to move all 3 discs from Post 1 to Post 2

Let  $H_n$  be the min. num. moves to move all discs from Post 1  $\rightarrow$  Post 2

Now let's find a recurrence for  $H_n$





Recurrence rel'n:  $H_n = 2H_{n-1} + 1$

Initial cond.:  $H_1 = 1$

$n$	$H_n$
1	1
2	3
3	7
4	15
5	31

$$H_n = 2^n - 1$$

Pf: We use induction on  $n$ . Let  $P(n)$  be the statement:

$$"H_n = 2^n - 1"$$

Base case: Using the initial condition,

$$H_1 = 1 = 2^1 - 1, \text{ so } P(1) \text{ is true.}$$

Inductive step: Assume  $P(k)$  is true:  $H_k = 2^k - 1$ .

Then using the recurrence relation, we have

$$H_{k+1} = 2H_k + 1 \quad (\text{by the recurrence rel'n})$$

$$= 2(2^k - 1) + 1 \quad (\text{by the inductive hypothesis})$$

$$= 2^{k+1} - 1$$

So  $P(k+1)$  is true, and  $P(n)$  is true for all  $n$  by induction.  $\square$

Ex 4: Call a decimal string a "valid codeword" if it has an even num. of 0's. Let  $a_n$  be the number of valid codewords of length  $n$ .

Find a recurrence for  $a_n$ .

Sol'n: If  $n \geq 2$ , to get a valid codeword of length  $n$ , either:

- add a non-0 to the end of a valid codeword of length  $n-1$

$$(9 \text{ possible last digits}) \cdot (a_{n-1} \text{ valid codewords}) = 9a_{n-1}$$

- or add a 0 to the end of an invalid codeword of length  $n-1$

$$(\text{1 possible last digit}) \cdot (10^{n-1} - a_{n-1} \text{ valid codewords}) = 10^{n-1} - a_{n-1}$$

$$\text{So } a_n = 9a_{n-1} + 10^{n-1} - a_{n-1} = 10^{n-1} - 8a_{n-1}$$

Ex 5 (if time): "Catalan numbers"

Let  $C_n$  be the number of ways to write  $n$  A's and  $n$  B's such that as you read left to right, you've never seen more B's than A's. Call this the "Catalan property"

e.g. AAABBB valid                      BA            invalid  
      ABABAB valid                      ABBAAB        invalid

Find a recurrence rel'n for  $C_n$ .

$$\text{Sol'n: } C_0 = C_1 = 1$$

If  $n \geq 1$ , consider a sequence w/  $n+1$  A's and  $n+1$  B's. Let  $k+1$  be the num. A's and B's encountered when you first have the same num. of A's and B's

e.g. ABABAB  
       $k+1=1$

AAABBB  
       $k+1=3$

Notice the right part of the string has  $n-k$  A's and  $n-k$  B's and satisfies the Catalan property; thus, there are  $C_{n-k}$  ways to choose it.

$$\begin{array}{ccc} \underbrace{ABABAB}_{k+1=1} & & \underbrace{AAABBB}_{k+1=3} \\ \diagdown & & \diagdown \\ C_{n-k} = C_2 & & C_{n-k} = C_0 \end{array}$$

The left part also satisfies the Catalan property, but there's more: the left part always starts w/ an A, ends w/ a B, and if you remove those entries, it still satisfies the Catalan property (otherwise,  $k$  would be different). Thus, there are  $C_k$  ways to choose the left part.

$$\begin{array}{ccc} \underbrace{ABABAB} & & \underbrace{AAABBB} \\ \cancel{A} \cancel{B} & & \cancel{A} \cancel{A} \cancel{B} \cancel{B} \\ \hline C_k = C_0 & & \underbrace{AABB}_{C_k = C_2} \end{array}$$

Therefore, we obtain the recurrence relation

$$\begin{aligned} C_{n+1} &= C_0 C_n + C_1 C_{n-1} + \dots + C_{n-1} C_1 + C_n C_0 \\ &= \sum_{k=0}^n C_k C_{n-k} \end{aligned}$$